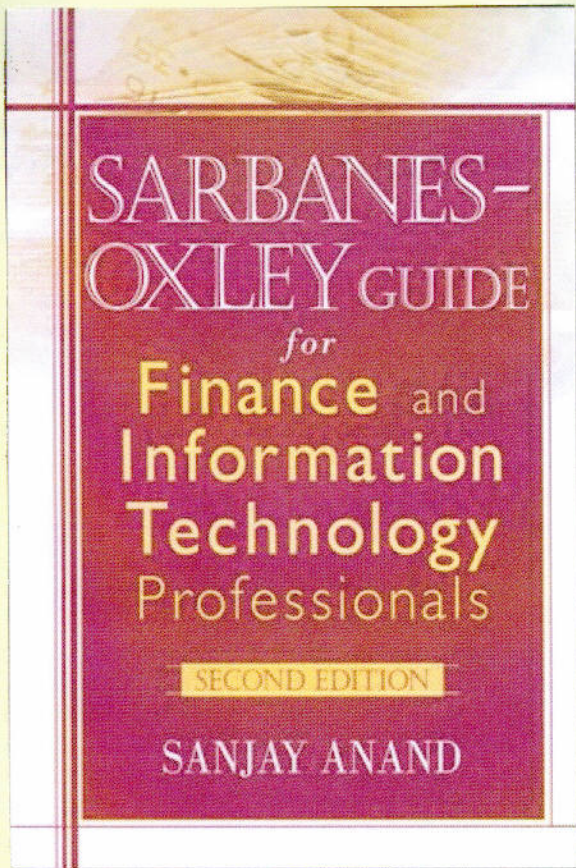


"This is the only Sarbanes-Oxley book on the market that truly recognizes the important role played by both the business and technology sides of the organization and that shows how the the two must work closely together to achieve cost-effective compliance."



Sarbanes-Oxley CSOX certification programs from the SOX Institute.

Particularly useful are the two underlying concepts, which can save you literally millions of dollars in your SOX implementations and ongoing compliance year after year:

1. Eighty percent of companies have 80 percent of the technology they need for SOX compliance so you don't have to buy-in to the vendor hype and the FUD factor (fear, uncertainty and doubt).
2. Begin with the end in mind, which is establishing affordable, sustainable, ongoing compliance beyond SOX. This will make compliance an ongoing process rather than a one-time project within the organization.

This book is ideal for both business and executives looking for a high-level understanding of SOX and how to "implement" it within their organizations. In addition, it's an excellent starting point for a practitioner looking for additional details from both the implementation as well as the audit perspectives. Comprehensive in its coverage, this book is a must-read for anyone and everyone impacted by SOX. The first half of the book should be mandatory reading for every person in every publicly traded company because it answers the important questions: "Why do we have to be SOX compliant?" and "What benefits and tangible returns on investment can I and/or my department derive from our SOX compliance initiatives?"

The book focuses on what's most important in the context of SOX but doesn't just end with Section 404 as most SOX-related books do. It's comprehensive in its treatment of SOX and presents a common-sense approach to the regulation as a set of best practices – something that organizations should *choose* to do, rather than just be *forced* to do.

It also simplifies an otherwise complex topic and demonstrates how the various components fit together. Specifically, it reinforces the interrelationship between business and technology, not just in the context of SOX but also in everyday business operations.

The "Sarbanes-Oxley Guide for Finance and Information Technology" brings together strategy and tactics. Both the top-down and bottom-up approaches have their place in business and compliance, and this book embodies both. 🔍

**Book:** "Sarbanes-Oxley Guide for Finance and Information Technology Professionals, Second Edition"

**Author:** Sanjay Anand

**Publisher:** John Wiley & Sons, Inc.

**Publication date:** 2006

**Reviewer:** Tommy Seah, CFE, FCPA, FAIA, ACIB, CSOXP, MSID, CIOD; 2006-2007 ACFE Regent

This is the only Sarbanes-Oxley book on the market that truly recognizes the important role played by both the business (finance) and technology sides of the organization and that shows how the two must work closely together to achieve cost-effective compliance.

Specifically, the presentation of downloadable worksheets, checklists, summaries and frameworks coupled with a reliance on the real-world best practices gleaned from COSO and COBIT implementations make this book one of the most practical and universally applicable Sarbanes-Oxley guides. It's little wonder, therefore, that this book forms the core of the